

07-11-01

A

07/10/01  
J1036 U.S. PTO

type a plus (+) inside this box



PTO/SB/05 (03-01)

Approved for use through 10/31/2001. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	<b>81358-200</b>	Total Pages	<b>17</b>
First Named Inventor or Application Identifier			
<b>Pierre HIRSBRUNNER</b>			
Express Mail Label No.	<b>EL 803539842 US</b>		

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification Total Pages **15**  
(preferred arrangement set forth below)  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed Sponsored R&D  
-Reference to Sequence Listing, a table, or a  
computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
5. Oath or Declaration Total Pages **02**
  - a. ☒ Executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies.

## **ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Non-publication Request Under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. filed

Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPLICATIONS ONLY:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## **19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code				<b>CUSTOMER NO. 28675</b>			
NAME							
ADDRESS							
CITY				STATE		ZIP CODE	
COUNTRY				TELEPHONE		FAX	

Name (Print/Type) **Allan A. Fanucci, Reg. No. 30,256** *Allan A. Fanucci* 7/10/01

J1036 U.S. PTO  
09/902535  
07/10/01

11092 U.S. PTO  
07/10/01

Business Mail No. EL 803539842 US

**WINSTON & STRAWN**  
200 PARK AVENUE  
NEW YORK, NEW YORK 10166-4193  
212-294-6700

ATTORNEY DOCKET NO.: 81358-200

Assistant Commissioner for Patents  
**Box PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): **Pierre HIRSBRUNNER**  
**Ian HORMAN**

Executed on: July 5, 2001

Title of the Invention: **AQUEOUS COMPOSITION FOR RENDERING A  
SUBSTRATE HYDROPHOBIC**

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	16	-20	0	18.00	\$ 0
Independent	1	-3	0	80.00	\$
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$
Total					\$ 710.00
50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached)					-\$
TOTAL FILING FEE					\$ 710.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of application no. 01109290.5 filed on April 17, 2001 in Europe is claimed under 35 U.S.C. § 119.
- ☒ Please charge the required fee to Winston & Strawn Deposit Account No. 501-814. A copy of this sheet is attached.

Respectfully submitted,

7/10/01  
Date

*Allan A. Fanucci*  
Allan A. Fanucci, Reg. No. 30,256